TELEFAX COVER SHEET

RECEIVED **CENTRAL FAX CENTER**

SEP 0 8 2006

PATTERSON & SHERIDAN, LLP

ATTORNEYS AT LAW 595 SHREWSBURY AVENUE SUITE 100 SHREWSBURY, NJ 07702 **TELEPHONE (732) 530-9404** TELEFAX (732) 530-9808

THIS TELEFAX MESSAGE IS ADDRESSED TO THE PERSON OR COMPANY

LISTED BELOW, IF IT WAS SENT OR RECEIVED INCORRECTLY, OR YOU ARE NOT THE INTENDED RECIPIENT, PLEASE TAKE NOTICE THAT THIS MESSAGE MAY CONTAIN PRIVILEGED OR CONFIDENTIAL MATERIAL, AND YOUR DUE REGARD FOR THIS INFORMATION IS NECESSARY. YOU MAY ARRANGE TO RETURN THIS MATERIAL BY CALLING THE FIRM LISTED ABOVE AT (732) 530-9404

THIS MESSAGE HAS T PAGES INCLUDING THIS SHEET Commissioner for Patents TO: FAX NO.: <u>571-273-8300</u> FROM: Kin-Wah Tong, Esq. DATE: September 8, 2006 MATTER: Serial No. 09/894,898 Filed: June 28, 2001 DOCKET NO.: SRI/4438 APPLICANT: Butzberger, et al. The following has been received in the U.S. Patent and Trademark Office on the date of this facsimile: _ Transmittal Letter (2 copies) _ Petition ___ Fee Transmittal (2 copies) Disclosure Statement & PTO-1449 Priority Document Deposit Account Transaction X Facsimile Transmission Certificate ___ Drawings (____ sheets) informal Amendment dated September 8, 2006 X Substance of the Interview (2 pages) CERTIFICATE OF TRANSMISSION UNDER 37 C.F.R. §1.8 I hereby certify that this correspondence is being transmitted by facsimile to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 8. 2006 September 8, 2006 Tara C. Carter Name of person signing this certificate

499545-1

PATTERSON & SHERIDAN

RECEIVED CENTRAL FAX CENTER

Ø 002/005

SEP 0 8 2006

PTO/SB/21 (07-06) PTU/SB/Z1 (07-05)

Approved for use through 09/30/2008, OMB 0851-0031

U.S. Palant and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a co

TRANSMITTAL		Application Number		09/894,898				
		Filing Date		June 28, 2001				
FORM	First Named Invento) [John W. Butzberger, et al.					
	Art Unit		2626					
(to be used for all correspondence after	Examiner Name		Pierre, Myriam					
otal Number of Pages in This Submiss	Attorney Docket Nu	mber	SRI/4438					
	ENCLÓ	SURES (check all that	apply)					
Fee Transmittal Form	Drawing(s)			After Allowance Communication to TC				
Fee Attached	Libensing	-related Papers		Appeal Communication to Board of Appeals and Interferences				
Amendment / Reply	Petition			Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)				
After Final		Convert to a al Application	ł	Proprietary Information				
Affidavits/declaration(6)	Power of Atlorney, Revocation Change of Correspondence Address			Status Letter				
Extension of Time Request	Terminal Disclaimer			Other Enclosure(s) (please identify below):				
Express Abandonment Request	Request	for Refund		Statement of Substance of Examiner Interview				
Information Disclosure Statement		ndscape Table on CD						
Certified Copy of Priority Document(s) Reply to Missing Parts/	kindly charge that fee to deposit account number 20-0782. To facilitate that charge, duplicate copy of this letter is enclosed.							
Reply to Missing Perts under 37 CFR1.52 or 1.53								
SIG	NATURE OF	APPLICANT, ATTOR	RNEY, O	RAGENT				
Firm	Patterson & St	herldan, LLP						
Signature	10/14	<u> </u>						
Printed Name	Esq.							
Date	Reg. 39,400							
	CERTIFICA	ATE OF TRANSMISS	ION/MAI	LING				
I hereby certify that this corresponde Service with sufficient postage as f Alexandria, VA 22313-1450 on the da	irst class mail	in an envelope addres	e USPTO	or deposited commissioner	with the United States Postal for Patents, P.O. Box 1450,			
	- Later							
Typed or printed name Tara Carter				Date	September 8, 2006			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is settimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will very depending upon the individual case. Any commania on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Ø 003/005

SEP 0 8 2006

PTO/SB/21 (07-06)
Approved for use through 09/30/2006, OMB 0851-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TDANSMITTAL			Application Numb	ег	09/894,89	8		
TRANSMITTAL FORM			Filing Date	June 28,	2001			
			First Named Inventor John W. Butzt			erger, et al.		
			Art Unit		2626			
(to be used for all correspondance after initial filing)			Examiner Name		Pieme, My	/riam		
Total Number of Pages in This Submission			Attorney Docket N	lumber	SRI/4438			
Fee Transmittal Form		ENCLOSURES (check all that apply) Drawing(s)			After Al	lowan	ce Communication to TC	
Fee Attached	-	Licensing-related Papers					nunication to Board	
		☐ Petition					nd Interferences	
Amendment / Reply	i	Petition					nunication to TC , Brief, Reply Brief)	
After Final		Petition to Convert to a Provisional Application			_		formation	
Affidevils/declaration	n(a)	Power of Attorney, Revocation Change of Correspondence Address			Status L	etter		
Extension of Time Requi	est	Terminal Disclaimer			Other &			
Express Abandonment R	Request	Request for Refund CD, Number of CD(s)			Statement of Interview	f Sut	stance of Examiner	
☐ Information Disclosure S			dscape Table on CD					
Cartified Copy of Priority Document(s) Reply to Missing Parts/			It is believed no that fee to deposit a by of this letter is end	event a fee is due, o facilitate that charge, a				
Incomplete Application								
Reply to Missing Pa under 37 CFR1.52 o	- 1							
	SIGNA	ATURE OF A	PPLICANT, ATTO	RNEY, OI	RAGENT			
Firm Patterson & Sheridan, LLP								
Signature # 2014								
Printed Name Kin-Wah Tong, Esq.								
Date September 8, 200			06 Reg. 39,400					
		CERTIFICAT	E OF TRANSMISS	ION/MAII	ING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United S Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Alexandria, VA 22313-1450 on the date shown below.								
Signalure	1118	Tel .			<u>-</u>			
Typed or printed name	Tara Carter					Sep	ember 8, 2006	

This collection of information is required by S7 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. The will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for returning this burden, should be sent to the Chief Information Officer, U.S. Paterni and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Paterns, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PAGE 3/5 * RCVD AT 9/8/2006 3:14:24 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-1/17 * DNIS:2738300 * CSID:732 530 9808 * DURATION (mm-ss):02-00

09/894,898

RECEIVED
CENTRAL FAX CENTER

SEP 0 8 2006

CERTIFICATE OF TRANSMISSION under 37 CFR 1.8

I herby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office: (571) 273-8300

on; September 8, 2006.

Date

Tara Certer

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicant: Butzberger et al.

Filed: June 28, 2001

Case: SRI/4438

Group Art Unit: 2626

Serial No.: 09/894,898

Examiner: Pierre, Myrlam

Title: METHOD OF DYNAMICALLY ALTERING GRAMMARS IN A MEMORY

EFFICIENT SPEECH RECOGNITION SYSTEM

COMMISSIONER FOR PATENTS

Mail Stop: AF P.O. Box 1450

Alexandria, VA 22313-1450

SIR:

SUBSTANCE OF THE INTERVIEW

In response to the Interview Summary dated August 7, 2006, the Applicants submit the following statement regarding the substance of the interview. The Applicants' representative wishes to thank the Examiners for the courtesies extended during the interview.

- A) No exhibits or demonstrations were conducted.
- B) Claim 1 was discussed.
- C) The Brown reference (US Patent 5,719,997) was discussed.
- D) No claim amendments were discussed or agreed to in the Interview.
- E) The Examiner's Interview Summary correctly describes the interview.
- F) No other pertinent matters were discussed.

1

09/894,898

G) No agreement was reached during the Interview regarding the claims. The Applicants have therefore submitted a Notice of Appeal and will follow-up with an Appeal Brief.

Although Applicants believe that no fees are due in connection with this response, the Commissioner is hereby authorized to charge counsel's Deposit Account No. 20-0782/SRI/4438, for any other fees, including extension of time fees required to make this response timely and acceptable to the Office.

If, however, the Examiner believes that any unresolved issues still exist, it is requested that the Examiner telephone the undersigned so that appropriate arrangements can be made for resolving such issues as expeditiously as possible.

Respectfully submitted,

September 8, 2006

KIN-WAH TONG
Attorney Reg. No. 39,400
PATTERSON & SHERIDAN, LLP
595 Shrewsbury Avenue
Suite 100

Shrewsbury, NJ 07702 Telephone: (732) 530-9404 Facsimile: (732) 530-9808 Attorney for Applicant(s)